

SECTION 8

IN THE U.S. PATENT AND TRADEMARK OFFICE

Attorney Docket No.: ART9901
Appn. Number: 09/405,946
Filing Date: 09/27/99
First Applicant: Pierre Hilaire
Appn. Title: VALVED CONNECTOR WITH CLOSURE OPERATED
BY AXIAL MOVEMENT OF THE VALVE
Examiner: GAU: 3734

Mailed December 14, 1999
at Sunnyvale, CA

TRANSMITTAL LETTER

Commissioner of Patents and Trademarks
Washington, District of Columbia 20231

Sir:

Please file the following papers related to the above identified patent application:

- Declaration (2 pages)
- Small Entity Declaration-Inventor
- Small Entity Declaration-Small Business Concern
- Copy of Notice to File Missing Parts
- Check # 1864 for \$445 for small entity basic filing fee and the surcharge
- Return Receipt Postcard

Very respectfully,

Carol D. Titus
505 W. Olive Ave., Suite 330
Sunnyvale, CA 94086

Registration Number: 38,436
phone (408) 732-7737
fax (408) 732-7876

CERTIFICATE OF MAILING

I hereby certify that this document addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231 will be deposited with the U.S. Postal Service as first class mail with sufficient postage at Sunnyvale on 12-14-99.
(Post Office) (Date)

Signature
Carol D. Titus



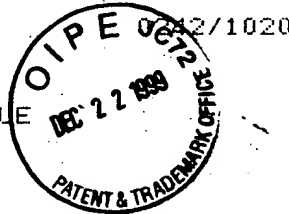
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

#5

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/405,946	09/27/99	HILAIRE	P ART9901

JAMES J LEARY
SUITE 330
505 WEST OLIVE AVENUE
SUNNYVALE CA 94086



NOT ASSIGNED

3734

DATE MAILED:

10/20/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
☐ small entity (statement filed) ☒ non-small entity is \$ 890.00

☒ 1. The statutory basic filing fee is:

- ☒ missing.
☐ insufficient.

Applicant must submit \$ 1600.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

- \$ _____ for _____ total claims over 20.
\$ _____ for _____ independent claims over 3.
\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Elisha Evans

Customer Service Center
Initial Patent Examination Division (703) 308-1202

390.00 DP
65.00 DP

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